



Memorandum

**6300 Georgetown Pike
McLean, VA 22101-2296**

Subject: **ACTION:** Pooled Fund Study TPF-5(069): “Core Program Services for a Highway Research, Development, and Technology Program, TRB Fiscal Year 2004” Date: November 22, 2002

From: Dennis C. Judycki (*Original signed by*) Associate Administrator for Research, Development, and Technology Reply to Attn. of: HRPD-01 (W. Zaccagnino; 33183)

To: Division Administrators

As we did last year in a pooled fund project, we are soliciting the States for their interest in making their contributions to the support of the 2004 Transportation Research Board (TRB) core program; the TRB 2004 core program year begins July 2003. We have attached an explanation of the project (Attachment 1) to refresh your memory about the establishment of this process versus the still available, traditional means of States’ funding TRB’s core program through direct payments to TRB; States may choose to continue to use the traditional option.

The proposed study meets the criteria for use of Federal-aid funds used for Research and Development studies without State matching. You are authorized to proceed with your State’s participation in the study using 100 percent State Planning and Research (SP&R) funding. We are establishing the fiscal year 2004 pooled fund project, “Core Program Services For A Highway Research, Development, And Technology Program, Fiscal Year 2004” and have assigned the study number TPF-5(069).

The TRB has established a table of allocations with a 2.5 percent administrative fee for States who choose to contribute funds to the TRB core program through this pooled fund study (Attachment 2). These allocations are based on the funding commitments agreed to in the triennium budget agreement. While we anticipate this table to represent the final allocation figures, the triennium budget for 2004 through 2006 will be approved at the January 2003 meeting of the TRB Executive committee; the Committee previously reviewed the table of allocations and is not expected to make changes in January.

Last year, nine States participated in the TRB 2003 core program year pooled fund study: Alabama, Illinois, Minnesota, Mississippi, Nevada, Oregon, Texas, West Virginia, and Wyoming. Other States indicated they might be interested in participating this year. You are each asked to survey your States for their interest in this pooled fund study. Once a State has decided it will provide its TRB core program contribution through this pooled fund study, it should forward its commitment form (Attachment 3) to William Zaccagnino by December 31, 2002 (Office of Program Development and Evaluation, HRPD-01, FHWA, Turner-Fairbank Highway Research Center, 6300 Georgetown Pike, McLean, Virginia 22101; Fax 202-493-3170; e-mail William.Zaccagnino@fhwa.dot.gov).

Subsequently, States should obligate their funds to this project, entering the project number as TPF5069 in the Fiscal Management Information System (FMIS); the three letters are part of the formal project number. Please have the participating State highway agencies execute the

appropriate documents by March 31, 2003, for placing the SP&R funds under agreement and enter this information through the FMIS.

Mr. Zaccagnino, 202-493-3183, has been designated as the lead contact for this study. You may contact him if you have any questions. Please let us know if we can be of any further assistance in conducting this study.

3 Attachments

cc:

Directors of Field Services

Resource Center Managers

Research Advisory Committee Members

Michael LaPlante, TRB

**CORE PROGRAM SERVICES FOR A HIGHWAY
RESEARCH, DEVELOPMENT, AND TECHNOLOGY PROGRAM
FISCAL YEARS 2003—2007**

Introduction

The transportation research community consists of numerous partnerships to aid in the conduct of research and the implementation of technologies and innovations. The Federal Highway Administration (FHWA), the States, and the National Academies are among these partners, who work closely in many facets of the national research program.

The Transportation Research Board (TRB) is a unit of the National Research Council, a private, nonprofit institution that is the principal operating agency of the National Academy of Sciences. The TRB's mission is to promote innovation and progress in transportation by stimulating and conducting research, facilitating the dissemination of information, and encouraging the implementation of research results.

The TRB fulfills this mission through the work of its standing technical committees and task forces addressing all modes and aspects of transportation; publication and dissemination of reports and peer-reviewed technical papers on research findings; administration of two contract research programs; conduct of special studies on transportation policy issues; maintenance of a transportation research data base (TRIS); and hosting an annual meeting that attracts transportation professionals from throughout the United States and abroad.

The TRB is supported by state transportation departments, the various administrations of the U.S. Department of Transportation and other federal agencies, industry associations, and other organizations and individuals interested in the development of transportation.

Purpose

Every 3 years, a triennium agreement is developed among the supporting members of the TRB, including FHWA and the American Association of State Highway and Transportation Officials (AASHTO), which outlines the TRB core program for that period and includes a 3-year budget.

The purpose of this FHWA-administered pooled fund study is to provide a mechanism for State transportation departments to support the TRB's core program and services.

FHWA is responsible for creating and maintaining an annual pooled fund study in its research and technology program, and in its financial system to facilitate State transportation department support for TRB's core program and services. TRB is responsible for conducting and providing its core program and services to the State transportation departments.

The goals and objectives of this pooled fund study are to enable TRB to provide services to assist the States to accomplish the following:

- Support a national and international highway R&T program.
- Encourage and promote the establishment of research activities within all highway agencies.
- Assist in the operation and maintenance of the Transportation Research Information

- Service (TRIS).
- Disseminate highway research results and promote implementation and technology transfer.

Use of 100 Percent SP&R Funds

The proposed study meets the criteria for the waiver of the non-Federal match for State Planning and Research (SP&R) funding and has been authorized to proceed with the study using 100 percent State Planning and Research (SP&R) funding. A new pooled fund study will be established each year for the annual State transportation department contributions.

Estimated Cost

Each State's assessment is based on the funding commitments agreed to in the triennium budget agreement. States interested in participating in this pooled fund study should work with Michael LaPlante at the Transportation Research Board at 202-334-3215 to determine the individual State's assessment of funds for obtaining TRB core program services.

Participation and Funding

This pooled fund study provides an alternative option to the traditional means of States' funding TRB's core program through direct payments to TRB; States may continue to use the traditional option. For those who choose to provide their funds through this pooled fund study, participation in and funding of the core program for the State transportation departments are to be in accordance with the following:

- Annually, State transportation departments will indicate to FHWA and TRB by the end of the first quarter of the Federal fiscal year (December 31) their intention of supporting TRB's core program through participation in a pooled fund study.
- State transportation departments electing to provide their funds through this pooled fund study will obligate their funds in the FHWA Fiscal Management Information System by the end of the second quarter of the Federal fiscal year (March 31).
- State transportation departments will obligate their funds by executing the project agreement, Form PR-2, and submitting it to their FHWA Division Office.
- FHWA will administer the pooled fund study on behalf of State transportation departments by initiating a cooperative agreement that funds part of the core program conducted by TRB.

2004-2006 State Allocations to TRB Core Program			
with 2.5 percent pooled fund activity administrative fee			
	Annual	2.50%	
	Contribution	pooled fund activity	Contribution
State	2004-2006	administrative fee	Plus Fee
Alabama	\$115,060	\$2,875	\$117,935
Alaska	\$85,230	\$2,130	\$87,360
Arizona	\$109,320	\$2,735	\$112,055
Arkansas	\$91,865	\$2,295	\$94,160
California	\$408,780	\$10,220	\$419,000
Colorado	\$94,025	\$2,350	\$96,375
Connecticut	\$106,300	\$2,660	\$108,960
Delaware	\$58,855	\$1,470	\$60,325
District of Columbia	\$57,305	\$1,435	\$58,740
Florida	\$224,760	\$5,620	\$230,380
Georgia	\$178,770	\$4,470	\$183,240
Hawaii	\$63,485	\$1,585	\$65,070
Idaho	\$70,450	\$1,760	\$72,210
Illinois	\$182,610	\$4,565	\$187,175
Indiana	\$136,330	\$3,410	\$139,740
Iowa	\$92,060	\$2,300	\$94,360
Kansas	\$90,190	\$2,255	\$92,445
Kentucky	\$105,285	\$2,630	\$107,915
Louisiana	\$105,730	\$2,645	\$108,375
Maine	\$62,375	\$1,560	\$63,935
Maryland	\$105,360	\$2,635	\$107,995
Massachusetts	\$116,960	\$2,925	\$119,885
Michigan	\$168,970	\$4,225	\$173,195
Minnesota	\$101,770	\$2,545	\$104,315
Mississippi	\$91,175	\$2,280	\$93,455
Missouri	\$137,675	\$3,440	\$141,115
Montana	\$82,880	\$2,070	\$84,950
Nebraska	\$75,130	\$1,880	\$77,010
Nevada	\$70,280	\$1,755	\$72,035
New Hampshire	\$60,970	\$1,525	\$62,495
New Jersey	\$146,565	\$3,665	\$150,230
New Mexico	\$81,600	\$2,040	\$83,640
New York	\$254,015	\$6,350	\$260,365
North Carolina	\$149,795	\$3,745	\$153,540
North Dakota	\$68,135	\$1,705	\$69,840
Ohio	\$185,515	\$4,640	\$190,155
Oklahoma	\$103,810	\$2,595	\$106,405
Oregon	\$88,965	\$2,225	\$91,190
Pennsylvania	\$219,840	\$5,495	\$225,335
Puerto Rico	\$48,610	\$1,215	\$49,825
Rhode Island	\$64,635	\$1,615	\$66,250
South Carolina	\$105,700	\$2,645	\$108,345
South Dakota	\$70,040	\$1,750	\$71,790
Tennessee	\$126,370	\$3,160	\$129,530
Texas	\$354,245	\$8,855	\$363,100
Utah	\$73,100	\$1,830	\$74,930
Vermont	\$59,505	\$1,490	\$60,995
Virginia	\$141,075	\$3,525	\$144,600
Washington	\$113,665	\$2,840	\$116,505
West Virginia	\$76,960	\$1,925	\$78,885
Wisconsin	\$120,095	\$3,000	\$123,095
Wyoming	\$69,965	\$1,750	\$71,715
TOTAL	\$6,172,160	\$154,310	\$6,326,470